PTO/SB/08 Equivalent

SUBJECT LEMENTAL INFORMATION

DISCLOSURE

ATION	Application No.	10/688,603	
ATION	Filing Date	October 17, 2003	
	First Named Inventor	Heitzmann et al.	
'ANT	Art Unit	3731	

STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 1 OF 1

Examiner Unknown
Attorney Docket No. ENDICOR.5CP2C2

	-		U.S. PATENT D	OCUMENTS .	
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
IN	1	5,077,506	12-31-1991	Krause et al.	
	2	5,378,234	01-03-1995	Hammerslag et al.	
	3	5,423,740	06-13-1995	Sullivan et al.	
	4	5,779,673	07-14-1998	Roth et al.	
	5	5,820,592	10-13-1998	Hammerslag	
V	6	6,623,437	09-23-2003	Hinchliffe et al.	

FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹	
V	7	WO 97/46164 A1	12-11-1997	Ross et al.		,	

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	71		
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Date Considered

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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE
STATEMENT BY APPLICATION
(Multiple sheets used when necessary)

SHEET 1 OF 2

	PTO/SB/06 Equivalent
Application No.	10/688,603
Filing Date	October 17, 2003
First Named Inventor	Harold A. Heitzmann et al.
Art Unit	3731
Examiner	Unknown
Attorney Docket No.	ENDICOR.5CP2C2

			U.S. PATENT	DOCUMENTS	
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
W	1.1	3,937,222	. 02/10/1976	Banko	
	2	4,112,708	09/12/1978	Fukuda	
	3	4,649,919	03/17/1987	✓ Thimsen et al.	·
	4	4,679,558	07/01/1987	Kensey et al.	
	5	4,705,038	11/10/1987	Sjostrom et al.	
	6	4,838,268	06/13/1989	Keith et al.	•
	7	4,848,343	07/18/1989	Wallsten et al.	
	8	5,003,918	04/02/1991	Olson et al.	·
	9	5,049,124	09/17/1991	Bales, Jr.	
	10	5,064,435	11/12/1991	Porter	
	11	5,154,705	10/13/1992	Fleischhacker et al.	
+-	12	5,165,421	11/24/1992	Fleischhacker et al.	
	13	5,350,390	09/01/1994	Sher	·
	14	5,373,619	12/20/1994	Fleischhacker et al.	
- .	15	5,397,345	03/14/1995	Lazarus	
	16	5,443,497	08/22/1995	Venbrux	
	.17	5,489,295	02/06/1996	Piplani et al.	
	18	5,522,880	06/04/1996	Barone et al.	
	19	5,562,726	10/08/1996	Chuter	·
	20	5,562,728	10/08/1996	Lazarus et al.	
	21	5,575,817	11/19/1996	Martin	
	22	5,609,605	03/11/1997	Marshall et al.	
	23	5,676,696	10/14/1997	Marcade	
	24	5.676,697	10/14/1997	McDonald	
	25	5,683,449	11/04/1997	Marcade	
	26	5,683,453	11/04/1997	Palmaz	
_	27	5,707,350	01/13/1998	Krause et al.	,
	28	5,779,721	07/01/1998	Nash	
W	29	5,797,949	08/25/1998	Parodi	

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^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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·	Application No.	10/688,603
INFORMATION DISCLOSURE	Filing Date	October 17, 2003
STATEMENT BY APPLICANT	First Named Inventor	Harold A. Heitzmann et al.
STATEMENT BY APPLICANT	. Art Unit	3731
(Multiple sheets used when necessary)	Examiner	Unknown
SHEET 2 OF 2	Attorney Docket No.	ENDICOR.5CP2C2

			U.S. PATENT D	OCUMENTS	
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
W	30	5,824,039	10/20/1998	Piplanl et al.	
	31	5,824,055	10/20/1998	Spiridigliozzi et al.	
1-	32	5,871,536	02/16/1999	Lazarus	
	33	6,156,046	12/01/2000	Passafaro et al.	
-	34	6,206,898	03/27/2001	Honeycutt et al.	
	35	6,238,405	05/01/2001	Findlay, III et al.	
1	36	6,451,036	09/17/2002	Heitzmann et al.	
_	37	6,454,779	09/24/2002	Taylor	
	38	6,482,217	11/19/2002	Pintor et al.	•
-	39	6,623,495	09/23/2003	Findlay, III et al.	
_	40	6,666,874	12/23/2003	Heitzmann et al.	
+	41	2003/0229369	12/11/2003	Findlay, III et al.	
	42	2001/0004700	06/21/2001	Honeycutt et al.	•
	43	2002/0188307	12/20/2002	Pintor et al.	
1./	44	2004/0087988	05/06/2004	Heitzmann et al.	

	FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹			
IN	45	SU 665908	06-05-1979	U.S.S.R.					
	46	DE 8900059	07-06-1989	Schneider					
	47	WO 95/21576	08-17-1995	Microvena Corp.					
	/48	EP 0 533 320 A2	03-24-1993	Dow Coming Wright Corp.					
1. /	49	EP 0 657 140	06-14-1995	Fischell					
W	50	WO 96/11648	04-25-1996	Stentco, Inc.					

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Examiner Signature P - Representation Date Considered 3/24/56

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. ENDICOR.5CP2C2

APPLICATION NO. 10/688,603

APPLICANT Heitzmann, et al.

GROUP ART UNIT

FILING DATE October 17, 2003

			U.S. PATENT DOCUMENTS		<i>.</i>	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE) SHEET 1 OF 6
1. W	1,481,078	1/15/24	Albertson			Officer vo.
2.	2,701,559	02/08/55	Cooper			
3.	2,850,007	09/02/58	Lingley		/	,
4.	3,064,651	11/20/62	Henderson		7.	
5.	3,082,805	03/26/63	Royce			
6.	3,614,953	10/26/71	Moss			
7.	3,683,891	8/15/72	Eskridge et al.			
8.	3,732,858	05/15/73	Banko			
9.	3,749,085	07/31/73	Willson et al			
10.	3,800,783	4/02/74	Jamshidi			
11.	3,845,375	10/29/74	Stiebel			
12.	3,945,375	03/23/76	Banko			
13.	3,976,077	08/24/76	Kerfoot, Jr.			•.
14.	4,007,732	2/15/77	Kvalve et al.			
15.	4,020,847	05/77	Clark, III			· . ·
16.	4,030,503	06/77	Clark, III			
17.	4,038,985	08/02/77	Chiulli	-		·.
18.	4,177,797	12/11/79	Baylis et al.	 	 	
19.	4,273,128	06/16/81	Lary .			
20.	4,368,730	1/18/83	Sharrock			
21.	4,424,045	1/3/84	Kulischenko et al.	-	·	
22.	4,436,091	03/13/84	Banko			
23.	4,445,509	05/01/84	Auth		· ·	
24.	4,490,139	12/25/84	Huizenga et al.	1 1		
25.	4,512,344	04/23/85	Barber	1 <i>-1</i>		
26. 1	4,589,412	05/20/86	Kensey	1/		

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APPLICANT Heitzmann, et al.		•	·
FILING DATE October 17, 2003		GROUP ART ÚNIT Unknown	

			U.S. PATENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE:	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE) SHEET 2 OF
27.	4,603,694	08/05/86	Wheeler			
28.	4,631,052	12/23/86	Kensey			
29.	4,646,719	3/3/87	Neuman et al.		/	
30.	4,646,736	03/03/87	Auth			
31.	4,646,738	03/03/87	Trott		/	•
32.	4,653,496	3/31/87	Bundy et al.		/	
33.	4,664,112	5/12/87	Kensey et al.	1	/	
34.	4,669,469	06/02/87	Gifford, III et al.		1	
35.	4,686,982	08/18/87	Nash		1	·
36.	4,696,667	09/29/87	Masch		V_{\perp}	
37.	4,706,671	11/17/87	Weinrib			
38.	4,728,319	03/01/88	Masch			
39.	4,729,763	03/08/88	Henrie .			%.
40.	4,732,154	03/22/88	Shiber			
41.	4,745,919	5/24/88	Bundy et al.			
42.	4,747,406	05/31/88	Nash			
43.	4,747,821	5/31/88	Kensey et al.			: .
44.	4,754,755	07/05/88	Husted			
45.	4,765,332	8/23/88	Fischell et al.			
46.	4,771,774	09/20/88	Simpson et al.			
47.	4,781,186	11/01/88	Simpson et al.			
48.	4,784,636	11/15/88	Rydell			
49.	4,790,812	12/13/88	Hawkins, Jr. et al.			,
50.	4,794,931	01/03/89	Yock			·
51.	4,819,634	04/11/89	Shiber			
52.	4,842,579	06/27/89	Shiber	L	1	

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

APPLICATION NO. 10/688,603

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APPLICANT Heitzmann, et al.

ATTY. DOCKET NO. ENDICOR.5CP2C2

FILING DATE October 17, 2003 GROUP ART UNIT Unknown

			U.S. PATENT DOCUMENTS		•	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE) SHEET 3 OF 6
53. W	4,844,064	07/04/89	Thimsen et al.	<u> </u>	-	
54.	4,850,957	07/25/89	Summers			
55.	4,857,046	08/15/89	Stevens et al.			·
56.	4,867,157	09/19/89	McGurk-Burleson et al.			
57.	4,870,953	10/03/89	DonMichael et al.			·
58.	4,883,458	11/28/89	Shiber		1	
59.	4,886,490	12/12/89	Shiber			
60.	4,887,613	12/19/89	Farr et al.		1	
61.	4,894,051	01/16/90	Shiber		17	
62.	4,919,133	04/24/90	Chiang			
63.	4,923,462	05/08/90	Stevens		1	
64.	4,926,858	05/22/90	Gifford, III et al.			
65.	4,950,238	8/21/90	Sullivan			
66.	4,957,482	09/18/90	Shiber		1	
67.	4,966,604	10/30/90	Reiss		1	
68.	4,979,939	12/25/90	Shiber		1	•
69.	4,979,951	12/25/90	Simpson			
70.	4,986,807	01/22/91	Farr			
71.	4,990,134	02/05/91	Auth	1. [
72.	4,994,067	02/19/91	Summers	T		
73.	5,002,553	3/26/91	Shiber			
74.	5,007,896	04/16/91	Shiber			
75.	5,009,659	04/23/91	Hamlin et al.	11		
76.	5,019,088	5/28/91	Farr	1/		·
77.	5,026,384	06/25/91	Fair et al.			
78.	5,030,201	7/09/91	Palestrant			

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			U.S. PATENT DOCUMENTS	•	•	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE) SHEET 4 OF 6
79. 1	5,047,040	09/10/91	Simpson et al.	_		
80.	5,071,425	12/10/91	Gifford, III et al.			
81.	5,074,841	12/24/91	Ademovic et al.			· .
82.	5,078,722	01/07/92	Stevens			
83.	5,078,723	01/07/92	Stevens			
84.	5,087,265	02/11/92	Summers		1.	
85.	5,092,839	3/03/92	Kipperman		1.	
86.	5,092,873	03/03/92	Simpson et al.			
87.	5,100,426	03/31/92	Nixon			
88.	5,112,345	05/12/92	Farr			
89.	5,114,399	05/19/92	Kovalcheck	-		
90.	5,135,531	8/04/92	Shiber			
91.	5,176,693	1/05/93	Pannek, Jr.			
92.	5,178,625	1/12/93	Groshong			
93.	5,181,920	01/26/93	Mueller et al.		1	-
94.	5,192,291	03/09/93	Pannek, Jr.		/	
95.	5,195,956	03/23/93	Stockmeier			
96.	5,222,966	06/29/93	Perkins et al.			
97. ·	5,224,945	07/06/93	Pannek, Jr.			·
98	5,242,460	09/07/93	Klein et al.	T T		•
99.	5,242,461	9/07/93	Kortenbach et.			
100.	5,263,959	11/23/93	Fischell	\prod		
101.	5,267,955	12/7/93	Hanson	\prod		
102.	5,269,793	12/14/93	Simpson	\prod	-	
103.	5,273,526	12/28/93	Dance et al.			
104.	5,284,486	02/08/94	Kotula, et al.	T		

EXAMINER	10	- TRON	DATE CONSIDERED	3/24/06
		CITATION CONSIDERED, WHETHER OR NOT CITATION		

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P FORM PTO-1449 U.S. DEPARTMENT OF COMPATENT AND TRADEMARK		APPLICATION NO. 10/688,603
BY APPLICANT	APPLICANT Heitzmann, et al.	:
TRADENTS (USE SEVERAL SHEETS IF NECESSARY)	FILING DATE October 17, 2003	GROUP ART UNIT Unknown

				U.S. PATENT DO	CUMENTS		•	
EXAMINER INITIAL	DOCU	MENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE) SHEET 5 OF 6
1.05. 6	5,	295,493	03/22/94	Radisch, Jr.		-		
108.	5,	306,294	4/26/94	Winston et al.				
107.	5,	308,354	5/03/94	Zacca et al.		,		
108.	5,	314,438.	5/24/94	Shturman				
109.	5,	356,418	10/18/94	Shturman	•			
110.	5,	358,472	10/25/94	Vance et al.	:			
111.	5,	358,485	10/25/94	Vance et al.			1	
112.	5,	366,463	11/22/94	Ryan				
113.		370,609	12/06/94	Drasler et al.				• .
114.	5,	370,651	12/06/94	Summers				
115	. 5,	372,601	12/13/94	Lary				
116	5,	395,311	03/07/95	Andrews	· · · · · · · · · · · · · · · · · · ·	•		
117	5,	403,334	04/04/95	Eyans et al.			1	
118.	5,	409,454	04/25/95	Fischell et al.				
119 ,	5,	423,799	06/13/95	Shiu				
120	5,	423,846	06/13/95	Fischell	<u>, , , , , , , , , , , , , , , , , , , </u>			
121	5,	431,673	7/11/95	Summers et al.				-:-
122	5,	441,510	08/15/95	Simpson et al.				
123	5,	454,809	10/03/95	Janssen				
124	5,	501,694	3/26/96	Ressemann et al.		•		
125	- 5,	507,292	04/16/96	Jang et al.	•			
126	5,	507,760	04/16/96	Wynne et al.				
127 .	5,	507,761	4/16/96	Duer		1		
128	5,	512,044	04/30/96	Duer				
129	5,	514,115	05/07/96	Frantzen et al.	· · · · · · · · · · · · · · · · · · ·	17		
130 _A	5,	522,825	6/04/96	Kropf et al.				

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ATTY, DOCKET NO. ENDICOR.5CP2C2	APPLICATION NO. 10/688,603	·	:	
APPLICANT Heitzmann, et al.				•
FILING DATE . October 17, 2003	GROUP ART UNIT			

	•		U.S. PATENT DOCUMENTS		• • • •	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS .	FILING DATE (IF APPROPRIATE) SHEET 6 OF
131.	5,556,408	09/17/96	Farhat			
132.	5,569,275	10/29/96	Kotula, et al.			
133.	5,569,276	10/29/96	Jang et al.			
134.	5,569,277	10/29/96	Evans et al.			
135.	5,569,279	10/29/96	Rainin			
136.	5,571,130	11/05/96	Simpson et al.			
137.	5,584,843	12/17/96	Wulfman et al.			
138.	5,624,457	4/29/97	Farley et al.			
139.	5,626,576	5/06/97	Janssen			
140.	5,628,761	5/13/97	Rizik		1.	
141.	5,632,754	5/27/97	Farley et al.		1.	
142.	5,632,755	05/27/97	Nordgren et al.			:•
143.	5,643,296	7/01/97	Hundertmark et al.			
144.	5,649,941	07/22/97	Lary			÷ .
145.	5,662,671	09/02/97	Barbut, et al.			
146.	5,665,098	9/09/97	Kelly et al.		1.	
147.	5,669,920	09/23/97	Conley et al.		1	•
148.	5,681,336	10/28/97	Clement et al.			
149.	5,695,506	12/09/97	Pike et al.			
150.	5,695,507	12/09/97	Auth et al.			· ·
151.	5,697,944	12/16/97	Lary	\Box	-	
152.	5,700,240	12/23/97	Barwick, Jr. et al.	1		
153.	5,707,383	1/13/98	Bays et al.	1		
154.	5,713,913	2/03/98	Lary et al.	<u> </u>		
155.	5,728,123	3/17/98	Lemelson et al.	\square		
158.	5,735,816	4/07/98	Lieber et al.			

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0	2 2 2003 COMMATION D	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE DISCLOSURE STATEMENT	ATTY, DOCKET NO. ENDICOR.5CP2C2		APPLICATION NO. 10/688,603		
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE) SHEET 7 OF 6
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